SCREENING CHAPTER 22
REGIONAL POLICY AND COORDINATION OF STRUCTURAL INSTRUMENTS

AGENDA ITEM V: MONITORING AND EVALUATION

Country Session: The Republic of TURKEY
9-10 October 2006

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II.   Regional Level

III.   Strategic Planning and Performance Budgeting

IV.   Decentralized Implementation System

V.   Budget Management Information System and Accounting Management Information System

I. National Level

Ninth National Development Plan (2007-2013)

❑ Describes the system and mechanism for Monitoring and Evaluation (M&E)

❑ A Monitoring and Steering Committee

  ▪ Convene at least once a year

  ▪ Guide planning and budgeting activities in parallel

  ▪ Prepare Annual Progress Report and present to Council of Ministries

  ▪ On-going evaluation with 3-Year Plan Evaluation Report

Ninth National Development Plan (2007-2013) (Cont'd)

❑ State Planning Organisation (SPO)

  ▪ Inter-ministerial Coordination

  ▪ Communication infrastructure

  ▪ Basic rules and regulations about monitoring and evaluation activities

❑ Implementing Institutions

  ▪ Reporting regularly to SPO

  ▪ Attending the follow-up meetings

Ninth National Development Plan (2007-2013) (Cont'd)

❑ Sectoral and regional development indicators:

- ▪ GDP, growth rate, employment, etc.
- ▪ Participatory approach

3-Year Medium Term Programme

❑ M&E Mechanism
- Meetings between SPO, Ministry of Finance and concerning organisations about
  - Previous years performance
  - Investment proposals for following year
- Review and revise 3-Year Medium Term Programmes in a yearly basis

❑ M&E Data Collection and Transfer
- Reports from concerning organizations (quarterly)

Annual Programme and Annual Investment Programme

❏ M&E Mechanism

- Meetings between SPO and concerning Organizations about
  - Previous years performance
  - Investment proposals for following year

❏ M&E Data Collection and Transfer

- Electronic system for reporting and investment proposals

Other Relevant Organizations

❑ Turkish Statistical Institute (TURKSTAT)

- Data collection at regional level (26 NUTS II Regions)

❑ Ministry of Interior

- BEPER- Measuring the Performance of Municipalities
- Yerel Bilgi- Local Network:
    - Annual update of Local Administrations Database

II. Regional Level

EU Regional Development Programmes (RDPs)

❑ Responsible Body for RDPs:
- DG Regional Development and Structural Adjustment under SPO

❑ Responsible Body for M&E of RDPs:
- Monitoring and Evaluation Department in DG Regional Development
- M&E Network:
  - Regional / Local Service Unions
  - Programme Implementation Units
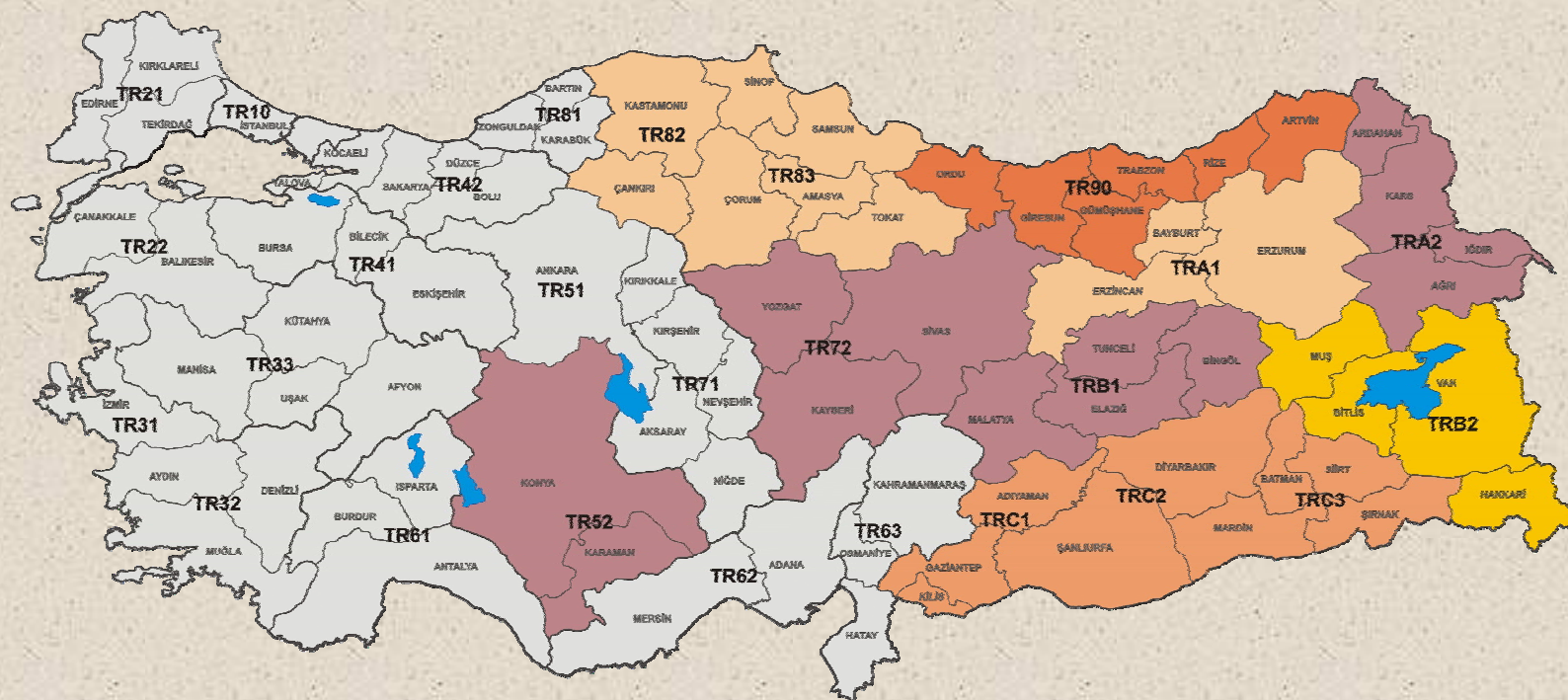 - Provincial Administrations

EU Regional Development Programmes (RDPs) (Cont'd)

Implementation of the RDPs under DIS

❑ Grant Schemes under EU-Turkey Pre-Accession Assistance

❑ Regions:

- Existing 8 NUTS II Regions (27 Provinces)
- Planned 1 NUTS II Region (6 Provinces)

❑ Program Coordination/Implementation Units for each NUTS II Regions

❑ Assignment of Government Officers in each provinces (local level) for M&E

EU Regional Development Programmes (RDPs) (Cont'd)

Content   : 12 NUTS II, 42 NUTS III

Budget   : 259 M €

M&E of Regional Development Programmes

❑ Common Monitoring and Evaluation Framework

- ▪ M&E Network
- ▪ Common Monitoring Manual
- ▪ Monitoring Information System

❑ Capacity Building at local level and Development Agencies (DAs)

❑ Disseminating M&E culture to Local Administrations and DAs

❑ Extendable to M&E of regional, local investments and performance of DAs

M&E of Regional Development Programmes (Cont'd)

Indicators

❑ Programme, component (measure) and project level indicators

❑ A common understanding of indicators for all programmes

- Specific, Measurable, Attainable, Realistic and Time-bound (SMART) indicators

❑ Capacity building

- Determination of project indicators together with project owners

MIS of Regional Development Programmes

❑ Common Monitoring Information System

- ▪ Built with Participatory Approach
- ▪ Comprehensive and decentralized
- ▪ Easily Accessible (Web-based)
- ▪ Transparent System

MIS of Regional Development Programmes (Cont'd)

(http://ibs.dpt.gov.tr)

MIS of Regional Development Programmes (Cont'd)

- ❑ Data collection from the source
    - ▪ Declaration of project owner (Bi-monthly declarations)
    - ▪ Verification of data by site visits
- ❑ System collects project data about:
    - ▪ Budget, Activities, Procurements, Performance Indicators, Needs and Problems
- ❑ Different user levels according to responsibilities including project owners
- ❑ Automatically consolidated reports

Other Relevant Organisations

❑ Regional and Provincial Coordination Committees
- A common cross-sectoral platform at local level
- Evaluation of physical and financial progress
- Reports to SPO quarterly

❑ Development Agencies
- Programming and Monitoring of RDPs

Other Relevant Organisations (Cont'd)

❑ Southeastern Anatolia Project (GAP) Regional Development Administration

❑ M&E Department of GAP Administration:

- ▪ M&E of projects and activities (data collection, data analysis, standardization and quarterly presented and annually reported.)
- ▪ M&E of the developments in the Region and the implementation of the GAP RDP
- ▪ Monitoring the public and private sector investment projects
- ▪ Monitoring the other public services

III. Strategic Planning and Performance Budgeting

Strategic Planning and Performance Budgeting

❑ State Planning Organization (SPO)

- Regulation: Procedures and Principles
- Strategic Planning Guidelines
  - Mission, Vision
  - Strategic objectives and measurable targets
  - Performance indicators
- Monitor and Evaluate

❑ All Public Institutions

- Prepare Strategic Plans with a participatory approach

Strategic Planning and Performance Budgeting (Cont'd)

❑ Ministry of Finance (MoF)

- Budgets on a performance base
- In line with the strategic plan
- New understanding of budgeting
- Effective, economic and efficient use of resources
- Performance budgeting guideline
- By-law: Activity reports for public institutions

❑ By-law on the Working Procedures and Principles of Strategy Development Units

IV. Decentralized Implementation System

M&E Responsibilities of Key Actors

❑ Letter of Agreement with the Joint Monitoring Committee (JMC) Mandate, 2002

❑ Joint Monitoring Committee

- Overall review of all EU funded programmes

- Recommendations for improvement

❑ Sectoral Monitoring Sub-Committees (SMSC)

- Review of EU funded programmes in sectoral level

- Composed of NAC, NAO, CFCU, ECD, Interim Evaluation Team, Beneficiaries

- Convenes 3 times a year

M&E Responsibilities of Key Actors (Cont'd)

❑ 6 Sectoral Monitoring Sub-committees:

- ▪ Economic and Social Cohesion (ESC)
- ▪ Social Development
- ▪ Internal Market, Customs Union, Agriculture
- ▪ Public Administration and Civil Society Development
- ▪ Justice, Liberty and Security
- ▪ Infrastructure, Energy, Telecommunications, Transport and Environment

❑ Interim Evaluation Team

- ▪ Interim evaluations of programmes based on PHARE Interim Evaluation Guides

M&E Responsibilities of Key Actors (Cont'd)

❑ National Aid Coordinator (NAC)

  ▪ Coordination of M&E of all Programmes

❑ Central Finance and Contracts Units

  ▪ Regular reporting on financial progress of programme/project

❑ Senior Programme Officers

  ▪ Regular information and monitoring reports on on-going projects / Programmes

V. Budget Management Information System and Accounting Management Information System

Budget Management Information System

e-budget;

- ❑ an integrated web-based software programme
- ❑ launched in 2001 and has been operated since 2003
- ❑ provides on-line contact between stakeholders
- ❑ uses new budget code system which is based on GFS
- ❑ 7513 active users in 159 administrations at central level
- ❑ updates data and enables online reporting

Budget Management Information System (Cont'd)

e-budget

Budgeting of all administrations within the scope of central government and social security institutions

- General Budget (50),
- Special Budget (99)
- Regulatory and Supervisory Agencies (8)
- Social Security Institutions (2)

Budget Management Information System (Cont'd)

e-budget

❑Aims at;

- ▪ enabling the preparation, implementation and monitoring of the budget and preparation of the final account in the electronic medium
- ▪ establishing a reporting system on the results of budget implementation to top management
- ▪ executing all transactions of the DG Budget and Fiscal Control

Budget Management Information System (Cont'd)

e-budget

- ❑ has the following modules:

  - Budget Preparation
  - Budget Transactions
  - Public Employment
  - Statistics
  - Documentation
  - Information

Accounting Management Information System

Say2000i is an accounting office automation system that;

❑ is developed by the Ministry of Finance,
❑ aims to provide a transparent, fast and secure service,
❑ is used by 1619 accounting offices within the scope of central government so as to execute accounting services,
❑ compiles the financial data of general government sector,
❑ consolidates and reports the data of general government sector,

Accounting Management Information System (Cont'd)

At the national level;

❑ The say2000i system is used by 1619 accounting offices in 81 provinces and 850 districts as a web-based system.

❑ The accounting records of the whole financial transactions of nearly all of the administrations within the scope of central government are kept in the system.

❑ Financial data of nearly all of the administrations within the central government are provided on a daily basis.

❑ The social security institutions execute their accounting records within a central structure and compile their financial data at their central organizations.

Accounting Management Information System (Cont'd)

At local level;

❑ Each of the local administrations keep their accounting records and produce their financial data on institutional basis.

❑ There are ongoing activities for entering the financial data compiled from social security institutions and local administrations into the Say2000i system by the Ministry of Finance.

Accounting Management Information System (Cont'd)

Consolidation of financial data of general government sector

❑ Financial data of the administrations within the scope of central government are compiled from the accounting records.
❑ The data relating to social security institutions are compiled on a quarterly basis and processed into the database.

Accounting Management Information System (Cont'd)

Consolidation of financial data of general government sector

❑ Financial data of the local administrations (Special Provincial Administrations, Metropolitan Municipalities, Municipalities and local administration unions) are;

- processed at the accounting offices of the general budget in 81 provinces and 850 districts
- compiled on a quarterly basis and the activities for processing these data into databe are still continuing.

Thank you for your attention